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PTO/SB/21 (02-04)

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/821,478
Filing Date	April 9, 2004
First Named Inventor	Hiroyasu Tsuchida
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	16869Q-106600US

Total Number of Pages in This Submission

## ENCLOSURES (Check all that apply)

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|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>USPTO form SB08A (one page), copies of 12 cited foreign patent references, Return Postcard |
|---|--|---|

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Townsend and Townsend and Crew LLP Chun-Pok Leung	Reg. No. 41,405
Signature		
Date	7-12-04	

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name Roger Hylton

Signature

Date

7/12/04



PATENT  
Attorney Docket No.: 16869Q-106600US  
Client Reference No.: HJP920030002US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Hiroyasu Tsuchida

Application No.: 10/821,478

Filed: April 9, 2004

For: SUSPENSION ASSEMBLY AND  
ROTARY DISK STORAGE DEVICE

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

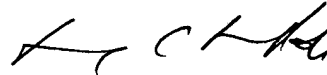
The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the foreign patent references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the

undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Chun-Pok Leung  
Reg. No. 41,405

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RL:rmh  
60256491 v1



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/821,478	
			Filing Date	April 9, 2004	
			First Named Inventor	Hiroyasu Tsuchida	
			Art Unit	Unassigned	
			Examiner Name	Unassigned	
Sheet	1	of	1	Attorney Docket Number	16869Q-106600US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	1	US-6,549,374	04-15-2003	Ikeda et al.	
	2	US-6,362,936	03-26-2002	Inoue et al.	
	3	US-2001/0008475	07-19-2001	Takagi et al.	
	4	US-5,748,409	05-05-1998	Girard et al.	
	5	US-5,602,699	02-11-1997	Khan	
	6	US-5,019,931	05-28-1991	Ohwe et al.	
	7	US-4,811,685	03-14-1989	Murai	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	8	JP	09-198824	A	07-31-1997	NEC		<input checked="" type="checkbox"/>
	9	JP	08-77738	A	03-22-1996	Sankoo KK		<input checked="" type="checkbox"/>
	10	JP	08-77739	A	03-22-1996	Sankoo KK		<input checked="" type="checkbox"/>
	11	JP	08-45211	A	02-16-1996	Sony Corp		<input checked="" type="checkbox"/>
	12	JP	08-45219	A	02-16-1996	Sony Corp		<input checked="" type="checkbox"/>
	13	JP	07-78436	A	03-20-1995	Sony Corp		<input checked="" type="checkbox"/>
	14	JP	06-223522	A	08-12-1994	Sony Corp		<input checked="" type="checkbox"/>
	15	JP	06-176518	A	06-24-1994	Fujitsu Ltd.		<input checked="" type="checkbox"/>
	16	JP	64-39676	A	02-09-1989	Mitsubishi Electric		abstract only
	17	JP	63-273286	A	11-10-1988	NTT		abstract only
	18	JP	63-237273	A	10-03-1988	NTT		abstract only
	19	JP	63-149888	A	06-22-1988	NTT		abstract only

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.  
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